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# Patient Characteristics Associated With Use of Lurasidone Versus Other Atypical Antipsychotics in Patients With Bipolar Disorder:

## Analysis From a Claims Database in the United States

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### ABSTRACT

**Objective:** To compare patient characteristics, medical comorbidities, health care utilization, and health care costs among patients with bipolar disorder who initiated lurasidone versus other atypical antipsychotics in usual clinical practice.

**Methods:** A retrospective analysis of administrative claims data was conducted using the US Optum Research Database (December 30, 2012, through February 27, 2014). Adult, commercially insured patients with bipolar disorder with an atypical antipsychotic prescription between June 28, 2013, and November 30, 2013, were included. The lurasidone cohort first included any patients with a lurasidone prescription; remaining patients were assigned to their first atypical antipsychotic (aripiprazole, olanzapine, quetiapine, risperidone, ziprasidone). Preindex patient characteristics comparisons to lurasidone were conducted with *t* tests (continuous variables) and  $\chi^2$  or Fisher exact tests (categorical variables).

**Results:** A total of 3,329 patients were included in this database analysis. A higher percentage of the lurasidone cohort (31.1%) had bipolar depression compared with the other cohorts (23.5%–28.0%). The lurasidone cohort had a statistically significantly higher percentage of patients with prior diabetes mellitus (13.3%) and lipid metabolism disorders (23.2%) than did the quetiapine cohort (8.4% and 16.3%,  $P < .01$ ). In addition, the lurasidone cohort had significantly more prior antipsychotic polypharmacy (23.0% vs 6.7%–12.9%,  $P < .01$ ) and atypical antipsychotic use (55.6% vs 11.8%–26.3%,  $P < .01$ ) than other cohorts. The lurasidone cohort had a statistically significantly higher mean number of prior all-cause and mental health office visits ( $P < .001$ ) and higher mean prior pharmacy costs than most cohorts ( $P < .01$ ).

**Conclusions:** Lurasidone-treated patients with bipolar disorder tended to have a more complex clinical profile, comorbidities, and prior treatment history compared to patients initiated with other atypical antipsychotics in this claims database study. This pattern of treatment may have reflected the overall clinical profile of lurasidone, the role perceived for lurasidone in the therapeutic armamentarium by practitioners, and the recent introduction of lurasidone into clinical practice during the study period.

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Bipolar disorder is a persistent, serious psychiatric condition with a 2% to 4% lifetime prevalence among adults in the United States.<sup>1</sup> Major depressive episodes associated with bipolar disorder constitute the most common syndromic state of bipolar disorder, imposing a large illness burden.<sup>2–4</sup> The consequences of bipolar disorder include substantial direct and indirect cost burden on patients, families, the health care system, and society.<sup>4–7</sup> Overall, the total economic burden associated with bipolar disorder in 2009 in the United States was estimated at \$151 billion, with indirect costs of \$120.3 billion and direct treatment costs of \$30.7 billion.<sup>8</sup>

Bipolar disorder is known to be associated with a high prevalence of lifetime comorbidity with other psychiatric disorders.<sup>1</sup> Individuals with bipolar disorder have nearly 5 times the age-, race-, and sex-adjusted risk of cardiovascular diseases<sup>9</sup> and are significantly more likely to have comorbid cardiometabolic conditions than the general population.<sup>5</sup> A meta-analysis<sup>9</sup> of clinical trials found that almost all antipsychotics are associated with weight gain and increased body mass index after prolonged exposure. The metabolic problems associated with antipsychotic use may be further exacerbated by lower physical activity levels among individuals with bipolar disorder than healthy individuals,<sup>10</sup> especially during periods of depression.<sup>11</sup> In a retrospective hospital database analysis<sup>12</sup> of 124,803 inpatients with bipolar disorder, 27% had 1 metabolic comorbidity, 17% had 2, and 17% had 3 or more. Each additional cardiovascular or metabolic comorbidity was estimated to increase patients' risk of 30-day readmission by 6.4%.<sup>13</sup>

In addition to differences in efficacy profile, medications used for the treatment of bipolar disorder have varying safety profiles, including risk for weight gain and metabolic disturbance. In terms of atypical antipsychotics, the 2013 Canadian Network for Mood and Anxiety Treatments and International Society for Bipolar Disorder treatment guidelines for bipolar disorder<sup>14</sup> recommend aripiprazole, asenapine, olanzapine, paliperidone, quetiapine, risperidone, or ziprasidone or combination therapy of an atypical antipsychotic (aripiprazole, asenapine, olanzapine,

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- In this claims database analysis, the proportion of patients with bipolar depression treated with lurasidone was higher than for other atypical antipsychotic agents, consistent with its approved US Food and Drug Administration indication for this population.
- In this study, lurasidone-treated patients tended to have a more complex clinical profile, medical comorbidities, and prior treatment history compared to patients initiated with other atypical antipsychotics, suggesting that lurasidone was utilized in a more difficult-to-treat bipolar disorder patient population.
- These findings may reflect the overall clinical profile of lurasidone, the role perceived for lurasidone in the therapeutic armamentarium by practitioners, and the recent introduction of lurasidone into clinical practice during the study period.

quetiapine, or risperidone) and a mood stabilizer (lithium or divalproex) for bipolar patients with manic episodes. For bipolar patients with an acute depressive episode, the only atypical antipsychotics recommended for first-line treatment are quetiapine and olanzapine plus a selective serotonin reuptake inhibitor, while lurasidone or lurasidone and a mood stabilizer (lithium or divalproex) are recommended as second-line treatment.<sup>14</sup> Aripiprazole and ziprasidone are explicitly not recommended for treatment of bipolar depression.<sup>14</sup> More recently, Florida's 2015 Medicaid best-practice guidelines<sup>15</sup> recommended lurasidone or quetiapine monotherapy as first-line bipolar depression treatment and cited lurasidone as having a more favorable metabolic profile than quetiapine.

Currently, the US Food and Drug Administration (FDA)-approved treatments for acute bipolar depression treatment are olanzapine-fluoxetine combination, quetiapine (immediate and extended release), and lurasidone (monotherapy and adjunctive therapy with lithium or valproate).<sup>16</sup> Of these, lurasidone is the only atypical antipsychotic approved (in June 2013) both as monotherapy and as adjunctive therapy for treatment of adults with major depressive episodes associated with bipolar I disorder.<sup>17</sup> A previous real-world study<sup>18</sup> described lurasidone dosage and associated adherence patterns in bipolar disorder patients initiated on lurasidone. However, patient characteristics, health care resource utilization, and cost burden associated with use of lurasidone versus other atypical antipsychotics in patients with bipolar disorder have not been previously examined. The aim of this study was to describe and compare background characteristics, comorbidities, prior health care utilization, and costs for patients with bipolar disorder who initiated lurasidone versus other atypical antipsychotics in usual clinical practice.

## METHODS

### Study Design and Database

This retrospective cohort study used administrative claims data from December 30, 2012, through February 27,

2014, from the Optum Research Database (ORD) (Optum, Eden Prairie, Minnesota). The ORD is a proprietary research database with claims on over 150 million unique individuals and contains medical and pharmacy claims data linked to enrollment information from a large, US health plan. The use of claims data allowed for noninterfering observation of typical clinical practice. This study did not require institutional review board waiver or approval, because no identifiable health information protected by the Health Insurance Portability and Accountability Act of 1996<sup>19</sup> was accessed or extracted.

### Inclusion/Exclusion Criteria

Patients included in this study were commercial health plan members at least 18 years old with at least 1 prescription claim for an atypical antipsychotic (asenapine, aripiprazole, clozapine, iloperidone, lurasidone, olanzapine, olanzapine/fluoxetine, paliperidone, quetiapine, risperidone, or ziprasidone) during the identification period (June 28, 2013, through November 30, 2013). The identification period for this study was selected beginning with the date that lurasidone was approved for the treatment of bipolar depression (June 2013) and ending with the last available complete pharmacy claims data in the claims database (February 2014) at the time of data extraction. All patients with a claim for lurasidone were assigned to the lurasidone cohort with the date of the first lurasidone claim as the index date. The remaining non-lurasidone treated patients were then assigned to other cohorts based on the first observed atypical antipsychotic claim, with the date of the first claim as the index date and the medication filled as the index atypical antipsychotic.

Eligible patients were also required to have (1) continuous health plan enrollment for at least 6 months preindex and 3 months postindex, (2) a single claim for an atypical antipsychotic on the index date, (3) newly initiated the index atypical antipsychotic, (4) evidence of bipolar disorder diagnosis (*International Classification of Diseases, Ninth Revision, Clinical Modification [ICD-9-CM]* codes: 296.0x–296.1x, 296.4x–296.81, 296.89) during the preindex period or on the index date, and (5) no diagnoses for schizophrenia (*ICD-9-CM* code: 295.xx) during the preindex period or on the index date. Patients were excluded if they had missing sex or geographic region information or had index claims for asenapine, clozapine, iloperidone, olanzapine/fluoxetine, and paliperidone (due to the small sample sizes in these cohorts).

### Bipolar Disorder Episode Type

Patients were assigned to 1 bipolar disorder episode type based on diagnostic codes during the preindex period or on the index date. The bipolar diagnosis code on the claim on, or closest to, the index date was used to identify the bipolar disorder episode type. The categories were bipolar depression (*ICD-9-CM* code: 296.5x), bipolar mania (*ICD-9-CM* codes: 296.0X, 296.1X, 296.4X, 296.81), bipolar mixed (*ICD-9-CM* code: 296.6x), bipolar unspecified (*ICD-9-CM*

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codes: 296.7, 296.80), and bipolar other (*ICD-9-CM* code: 296.89). In the case of multiple distinct bipolar disorder diagnoses, the current episode type was classified based on the following prioritization: depression, mania, mixed, unspecified, and other.

### Comorbidities and Substance Abuse

During the preindex period, the Charlson Comorbidity Index score was calculated from medical claims data.<sup>20–22</sup> Prior mental health comorbidities, cardiovascular and metabolic comorbidities, and substance abuse were obtained from medical claims data. Prior mental health comorbidities (adjustment disorders, anxiety disorders, and attention-deficit disorders) were identified as 2 or more claims with the respective diagnoses at least 14 days apart (*ICD-9-CM* codes in Supplementary Table 1). Prior cardiovascular and metabolic comorbidities of hypertension, disorders of lipid metabolism, and diabetes were identified among patients using the Clinical Classification Software from the Agency for Healthcare Research and Quality.<sup>23</sup> Substance abuse was coded if the patient had a claim with a code for substance or alcohol abuse (Supplementary Table 1).

### Medication Prescription Patterns

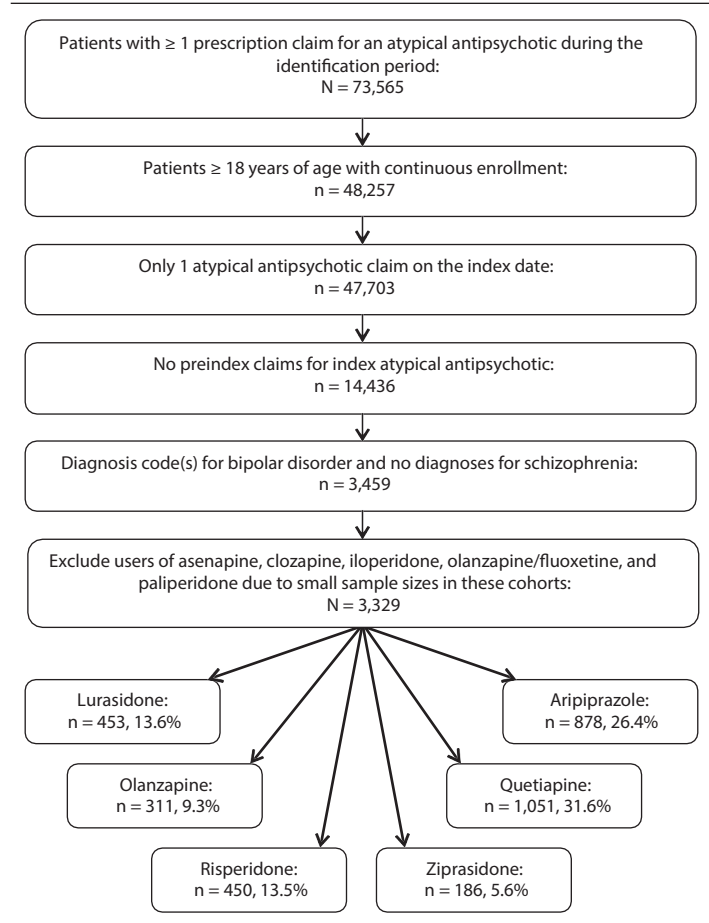
Medication prescription patterns were identified based on pharmacy claims. The index atypical antipsychotic therapy was categorized as monotherapy, adjunctive therapy, or atypical antipsychotic polypharmacy. Polypharmacy was coded for patients who did not meet adjunctive therapy criteria and had at least 1 claim for any other atypical antipsychotic within the first 60 postindex days. Adjunctive therapy was categorized if patients did not meet polypharmacy criteria but met all of the following criteria:  $\geq 1$  claim for lithium or valproate (valproic acid) within 30 days before the index date,  $\geq 1$  claim for lithium or valproate for at least the first 14 days of the postindex period, and  $\geq 1$  claim for lithium or valproate 15 to 60 days after the index date. All other patients were considered to be receiving atypical antipsychotic monotherapy.

Prior atypical antipsychotic use was defined as 1 or more preindex period claim for any nonindex atypical antipsychotic. The number of distinct prior atypical antipsychotics was calculated based on patients having at least 1 claim. Patients with at least 1 preindex claim for a selective serotonin reuptake inhibitor or serotonin-norepinephrine reuptake inhibitor (medication class definitions in Supplementary Table 2) were identified as antidepressant users.

### Health Care Utilization and Costs

Health care utilization for office visits, inpatient stays (and days), emergency room visits, and

**Figure 1. Patient Flow Through the Selection Criteria**



outpatient visits with psychiatrists prior to atypical antipsychotic initiation were identified and counted. Mental health-related health care utilization and costs were measured from claims with *ICD-9-CM* codes 290.XX–319, and mental health pharmacy claims were those for psychotropic medications (Supplementary Table 1). All-cause health care utilization refers to care that was provided for any reason, including both mental health and non-mental health medical care. Health care costs reflect the combined health plan and patient-paid amounts. Costs were inflation-adjusted to 2013 US dollars using the medical care component of the Consumer Price Index from the US Bureau of Labor Statistics.<sup>24</sup>

### Statistical Methods

Descriptive statistics included counts and percentages for dichotomous and categorical variables, means and standard deviations for continuous variables, and 99% confidence intervals (CIs). Results were stratified by index atypical antipsychotic, and comparisons were made between the lurasidone cohort and each other cohort. Statistical significance testing between the lurasidone cohort and comparator cohorts was conducted using 2-tailed Student t test for continuous variables (with a Satterthwaite adjustment for unequal variances when needed). A  $\chi^2$  test or Fisher exact test (for low cell counts) was performed for categorical variables. To account for large sample sizes and the number of comparisons, differences between the lurasidone cohort and other comparator cohorts were

**Table 1. Preindex Patient Demographics, Comorbidities, and Health Care Utilization by Index Atypical Antipsychotic**

Variable	Lurasidone (n=453)	Aripiprazole (n=878)	Olanzapine (n=311)	Quetiapine (n=1,051)	Risperidone (n=450)	Ziprasidone (n=186)
<b>Demographics</b>						
Age, mean (SD), y	39.5 (12.8)	39.2 (13.1)	38.8 (14.0)	38.8 (13.6)	38.2 (14.1)	39.1 (12.9)
Female, %	70.2	68.2	56.0***	63.0**	58.7***	79.0*
<b>Comorbidities</b>						
Cardiovascular and metabolic, %						
Diabetes mellitus	13.3	12.1	10.0	8.4**	13.3	15.1
Disorders of lipid metabolism	23.2	20.4	19.0	16.3**	18.0	18.8
Hypertension	22.3	19.6	18.7	18.2	22.7	22.0
Psychiatric, %						
Adjustment disorders	10.8	7.5*	8.0	7.2*	9.6	9.1
Anxiety disorders	25.6	22.0	26.1	23.5	20.2	23.7
Attention-deficit disorders	10.2	7.7	5.5*	8.1	7.1	9.7
Substance-related, %						
Alcohol abuse	10.4	8.0	16.1*	13.4	12.7	10.8
Substance abuse	10.8	8.3	20.6***	15.3*	14.7	8.6
CCI score, mean (SD) <sup>a</sup>	0.4 (1.0)	0.4 (1.0)	0.3 (0.9)	0.4 (1.0)	0.3 (1.0)	0.4 (0.9)
<b>Health care utilization</b>						
All-cause, mean (SD)						
Psychiatric outpatient visits	3.9 (4.6)	2.3 (3.1)***	2.0 (2.9)***	2.1 (3.9)***	2.1 (3.9)***	2.6 (3.1)***
Inpatient stays	0.4 (0.7)	0.3 (0.5)**	0.6 (0.8)***	0.4 (0.8)	0.5 (0.8)	0.5 (0.9)
Inpatient days	2.4 (6.1)	2.0 (6.2)	5.6 (11.5)***	2.8 (7.0)	3.7 (8.8)*	4.2 (14.0)
Office visits	14.5 (11.5)	11.1 (10.0)***	9.3 (9.8)***	10.3 (11.3)***	9.0 (10.0)***	12.3 (13.5)
ED visits	1.4 (3.8)	1.2 (2.4)	1.4 (2.4)	1.4 (3.1)	1.3 (3.1)	1.6 (2.9)
Mental health-related, mean (SD)						
Psychiatric outpatient visits	3.9 (4.6)	2.2 (3.1)***	1.9 (2.9)***	2.1 (3.6)***	2.1 (3.7)***	2.5 (3.1)***
Inpatient stays	0.3 (0.7)	0.2 (0.5)**	0.6 (0.8)***	0.4 (0.8)	0.4 (0.8)	0.4 (0.8)
Inpatient days	2.3 (6.1)	1.8 (5.5)	5.4 (11.5)***	2.7 (6.6)	3.6 (8.6)*	3.6 (9.6)
Office visits	9.0 (8.6)	6.4 (7.6)***	5.2 (7.3)***	5.8 (8.3)***	5.4 (7.9)***	7.2 (11.9)
ED visits	0.6 (2.5)	0.5 (1.4)	0.9 (1.7)	0.6 (1.6)	0.7 (1.9)	0.9 (2.2)

<sup>a</sup>Calculated using the Quan method.

\* $P < .05$ .

\*\* $P < .01$ .

\*\*\* $P < .001$ ; statistically significantly different from the lurasidone cohort.

Abbreviations: CCI = Charlson Comorbidity Index, ED = emergency department.

considered statistically significant with  $P < .01$  ( $\alpha = 0.05/5$  sets of comparison = 0.01). SAS 9.2 (SAS Institute, Cary, North Carolina) was used for all analyses.

## RESULTS

### Patients

The database contained information on 73,565 patients with 1 or more atypical antipsychotic prescription claims during the identification period. Inclusion and exclusion criteria identified 3,459 patients. Patients treated with asenapine, clozapine, iloperidone, olanzapine/fluoxetine, or paliperidone were excluded because of the small cohort size ( $n = 130$ ). The final index atypical antipsychotic cohorts who met the criteria included 3,329 patients: lurasidone ( $n = 453$ , 13.6%), aripiprazole ( $n = 878$ , 26.4%), olanzapine ( $n = 311$ , 9.3%), quetiapine ( $n = 1,051$ , 31.6%), risperidone ( $n = 450$ , 13.5%), and ziprasidone ( $n = 186$ , 5.6%). The patient flow through selection criteria can be seen in Figure 1.

Preindex patient characteristics by cohort are provided in Table 1. The lurasidone cohort had a significantly higher percentage of females in comparison to the olanzapine, quetiapine, and risperidone cohorts ( $P < .01$ ). Although the Charlson Comorbidity Index score was similar across the cohorts, patients in the lurasidone cohort appeared to have a

higher prevalence of several psychiatric and cardiovascular and metabolic comorbidities (Table 1), but most were not statistically significant. A statistically significantly higher proportion of lurasidone cohort patients had prior diagnoses of diabetes mellitus (13.3% vs 8.4%) and disorders of lipid metabolism (23.2% vs 16.3%) compared to the quetiapine cohort ( $P < .01$ ). Prior substance abuse was significantly less likely in lurasidone (10.8%) than in olanzapine (20.6%) patients ( $P < .001$ ).

### Bipolar Disorder Episode Type

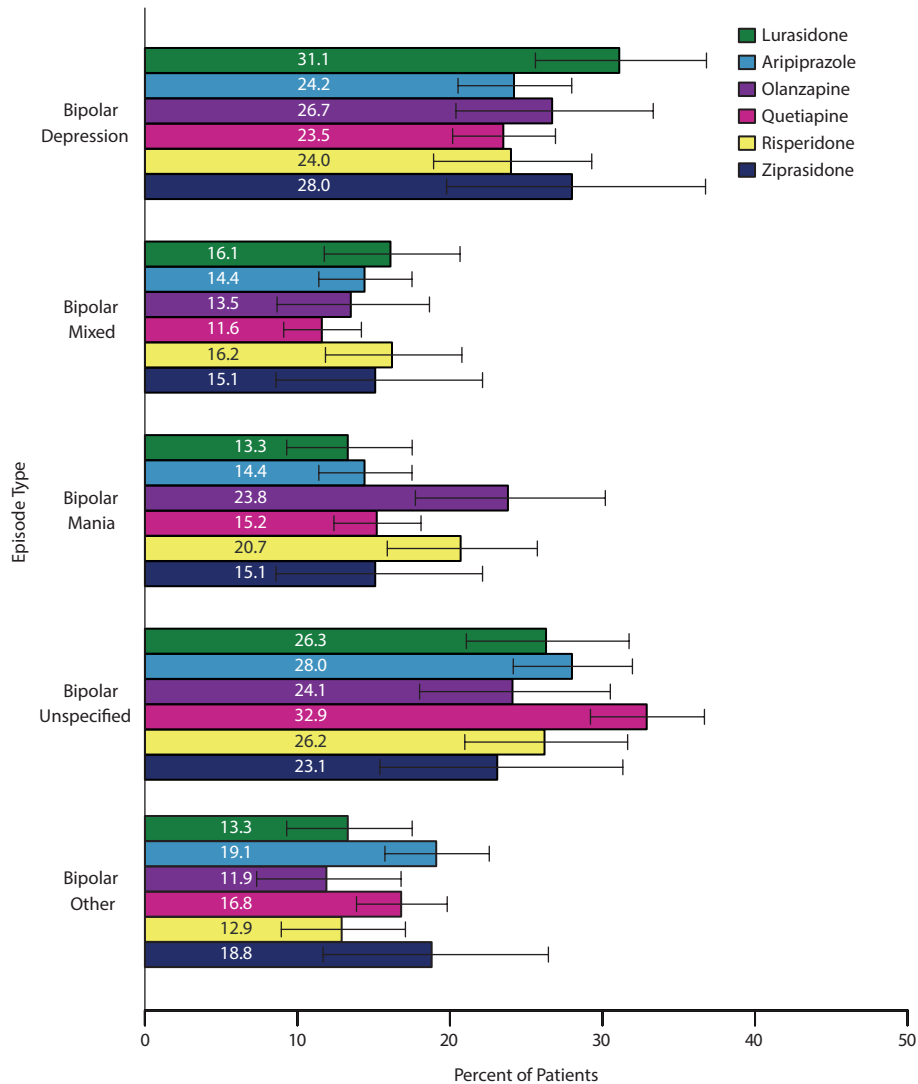
As seen in Figure 2, the lurasidone cohort had a significantly different distribution of bipolar episode type than most other index atypical antipsychotic cohorts ( $P < .01$ ). Depression (31.1%) and mixed (16.1%) episodes appeared more common in the lurasidone cohort compared to the olanzapine (26.7% and 13.5%) and quetiapine (23.5% and 11.6%) cohorts, while bipolar mania appeared less common in the lurasidone cohort (13.3%) than the olanzapine (23.8%) and risperidone (20.7%) cohorts.

### Medication Prescription Patterns

During the preindex period, the lurasidone cohort (52.8%) was significantly more likely to receive an antidepressant compared to the quetiapine (44.9%,  $P < .01$ )

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Figure 2. Bipolar Disorder Episode Type by Atypical Antipsychotic<sup>a</sup>



<sup>a</sup>Distribution of type of bipolar disorder is statistically significantly different from lurasidone cohort ( $P < .01$ ). Error bars represent 99% confidence intervals. Percentages may not sum to 100% due to rounding.

and risperidone (42.2%,  $P < .01$ ) cohorts but not the aripiprazole (49.3%,  $P = .23$ ), olanzapine (43.4%,  $P = .011$ ), or ziprasidone (48.9%,  $P = .38$ ) cohorts. Adjunctive treatment with lithium or valproate was rare among all cohorts and ranged from 5.0% for both quetiapine and aripiprazole to 7.7% for olanzapine cohorts, respectively. Conversely, polypharmacy occurred statistically significantly more often in the lurasidone cohort (23.0%) versus all other cohorts (6.7%–12.9%,  $P < .01$ ).

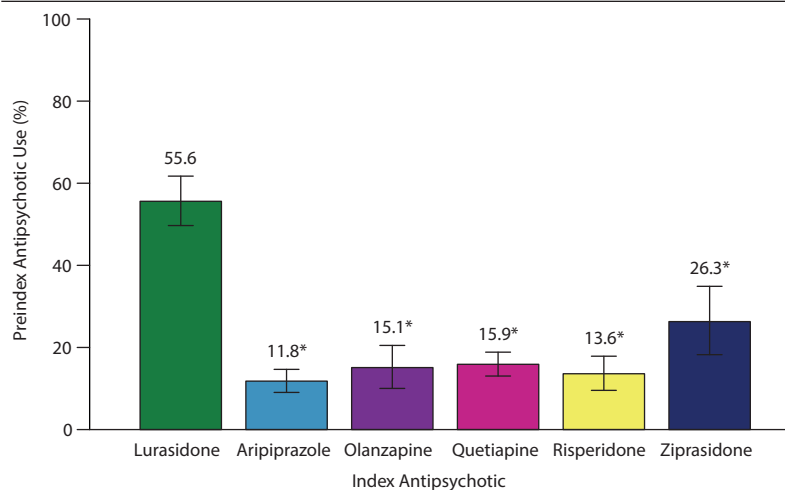
Prior to the index date, more than half of the lurasidone cohort (55.6%) had pharmacy claims for 1 or more atypical antipsychotics, and 16.6% had claims for at least 2 antipsychotics. In all other cohorts, 26.3% or less of patients had claims for preindex atypical antipsychotics, and most only had a claim for a single atypical antipsychotic (Figure 3). These findings do not indicate whether or not prior antipsychotics were used simultaneously or sequentially.

### Health Care Utilization and Costs

The prior health care resource use of each atypical antipsychotic cohort is reported in Table 1. With the exception of the ziprasidone cohort, the mean number of all-cause office visits was significantly higher for the lurasidone cohort compared to all other cohorts (14.5 vs 9.0–11.1,  $P < .001$ ). The lurasidone cohort also had a notably higher mean number of outpatient visits with a psychiatrist than all other cohorts (3.9 vs 2.0–2.6).

Figure 4 shows prior all-cause and mental health-related health care costs for each atypical antipsychotic. Mean all-cause total health care costs were similar across all cohorts (ranging from \$9,698 to \$14,877); olanzapine had the highest costs. The lurasidone cohort had a lower mean all-cause inpatient cost than the olanzapine cohort (\$3,475 vs \$7,671,  $P < .01$ ) and numerically lower all-cause emergency department cost (\$669 vs \$916–\$1,108) than

Figure 3. Prior Atypical Antipsychotic Use by Atypical Antipsychotic



\*Statistically significantly different from lurasidone cohort ( $P < .01$ ). Error bars represent 99% confidence intervals.

all other cohorts except aripiprazole. The lurasidone cohort also had lower mean mental health–related overall (\$7,494 vs \$11,060), inpatient (\$3,229 vs \$7,075), and emergency department (\$336 vs \$659) costs than the olanzapine cohort. The mean prior mental health–related pharmacy and office visit costs among the lurasidone cohort were statistically significantly higher than those of all other cohorts.

## DISCUSSION

In this retrospective claims study, patients with bipolar disorder who were treated with lurasidone had the highest observed rates of prior cardiovascular and metabolic risk factors compared to other atypical antipsychotic cohorts. Relative to patients treated with quetiapine, lurasidone-treated patients had statistically significantly higher preindex rates of diabetes (13.3% vs 8.4%,  $P < .01$ ) and disorders of lipid metabolism (23.2% vs 16.3%,  $P < .01$ ). In addition, patients initiating lurasidone were more likely (31.1%) to have bipolar depression than both quetiapine (23.5%) and olanzapine (26.7%) cohorts. When compared to other atypical antipsychotic cohorts (prior to or at the time of atypical antipsychotic initiation), patients initiating lurasidone had significantly more antipsychotic polypharmacy, a higher mean number of all-cause and mental health–related office visits, and greater mean pharmacy costs at index. Mean preindex all-cause health care costs were similar across all cohorts.

Reasons for the higher rate of prior cardiovascular and metabolic comorbidities reported among the lurasidone-treated patients compared to patients treated with other atypical antipsychotics are unclear. However, one plausible explanation is the possibility that physicians may consider lurasidone to be a reasonable treatment option for those with cardiovascular and metabolic comorbidities given its potentially favorable metabolic tolerability profile.<sup>25–27</sup> Lurasidone has been suggested as an alternative for patients

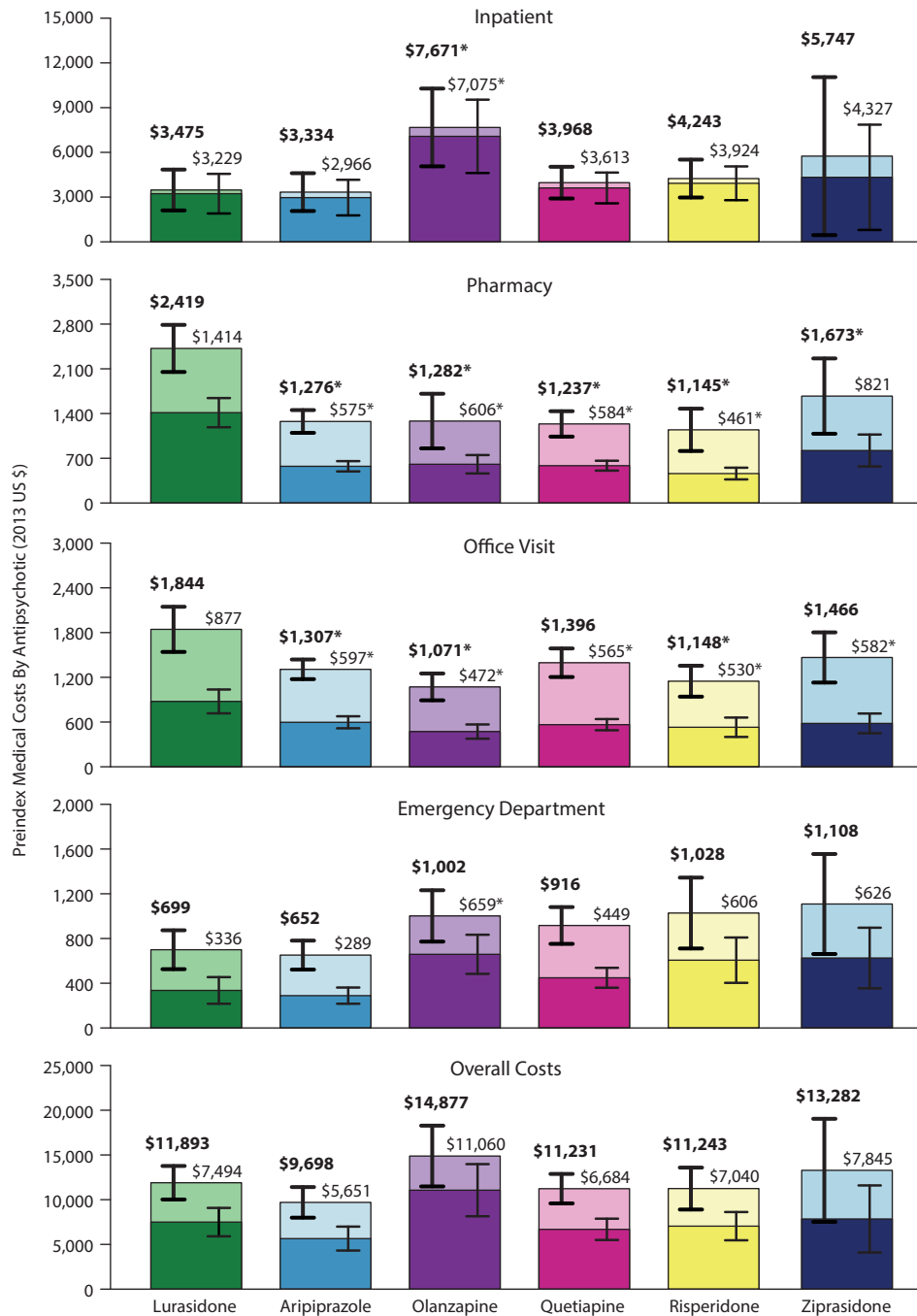
experiencing clinically relevant weight gain on other atypical antipsychotic therapies.<sup>28</sup> Notably, despite the known metabolic burden associated with olanzapine and quetiapine treatment,<sup>29</sup> 8.4% and 10.0% of patients with diabetes were initiated on treatment with quetiapine and olanzapine, respectively.

The bipolar disorder episode type distribution was significantly different between the lurasidone cohort and the other antipsychotics in a manner that appeared consistent with the FDA-approved indications—the cohort prescribed lurasidone, which is indicated for bipolar depression but not bipolar mania, had the highest percentage of patients classified as bipolar depression and lowest percentage classified as bipolar mania. Bipolar depression is generally more difficult to treat than other types of bipolar episodes,<sup>30</sup> and recent treatment guidelines<sup>15</sup> recommend lurasidone monotherapy as a first-line treatment for bipolar depression. Consistent with the underlying randomized clinical trials, a recent network meta-analysis<sup>31</sup> found that ziprasidone and aripiprazole are not effective for treating patients with bipolar depression. Patients treated with lurasidone were also significantly more likely than those treated with quetiapine or risperidone to have had prior antidepressant treatment, which may be another indicator for bipolar depression but also could be a marker for patients with bipolar disorder that was previously diagnosed and treated as unipolar depression.<sup>32</sup>

Approximately 20% of patients in this study had evidence of prior atypical antipsychotic use, including some who had received multiple antipsychotics. Patients in the lurasidone cohort used more atypical antipsychotics during the preindex period than other cohorts. Prior antipsychotic use was also found to be associated with the likelihood of using higher doses of lurasidone according to a recent claims database study.<sup>18</sup> Adherence to atypical antipsychotics is often poor, and discontinuation and switching are relatively common in both bipolar and schizophrenic patients,<sup>33,34</sup> often

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Figure 4. Prior Health Care Costs by Atypical Antipsychotic<sup>a</sup>



<sup>a</sup>The darker bars give mental health costs and the lighter bars and bolded labels give the total cost.  
 \*Statistically significantly different from lurasidone cohort ( $P < .01$ ). Error bars represent 99% confidence intervals.

because of adverse events.<sup>34</sup> Prior antipsychotic use may also be a disease severity marker and, as the most recently introduced atypical antipsychotic in this study, lurasidone may have been reserved for nonresponders to other atypical antipsychotics.<sup>35</sup> In addition, due to formulary restrictions, the use of lurasidone may have been limited in some cases to patients who had failed other medications.

Notably, the adjunctive use of lithium or valproate in patients with bipolar disorder was low in all cohorts

(5.0%–7.7%). In contrast, adjunctive mood stabilizer use was previously reported among 47% of patients with bipolar disorder treated with atypical antipsychotics.<sup>36</sup> The lower rates of adjunctive lithium and valproate use reported here may have been in part due to the stringent operational definition of adjunctive therapy used in this study: all patients were required to be using lithium or valproate before, during, and after the date atypical treatment was initiated.

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The current study supports and extends the findings of a prior commercial claims database analysis of patients with bipolar disorder initiated on lurasidone.<sup>18</sup> Taken together with the prior study, our findings suggest that patients initiating lurasidone may have a more complex clinical profile as it relates to the presence of medical comorbidities, concomitant medication use, and health resource utilization as compared with patients initiating treatment with other oral antipsychotics.

### Limitations

The results of this study must be interpreted with appropriate consideration of limitations of retrospective database analyses. Claims data are collected primarily for reimbursement purposes, not research, and are subject to coding errors. The presence of a claim for a filled prescription does not indicate appropriate medication adherence. We did not identify the pattern of use of antipsychotics among pregnant women. Given the source of the claims database used, the ability to generalize the observed results may be limited to patients covered by commercial insurance. The commercial insurance sample is less likely to include individuals who meet age or disability requirements for Medicare and less likely to include individuals who meet income requirements for Medicaid. The database used in this analysis did not contain direct information regarding the reasoning for the choice of atypical antipsychotic or the circumstances surrounding the discontinuation of previous therapies. In an attempt to maximize the number of patients

observable in the lurasidone cohort, all patients using lurasidone were identified first, and then the remaining patients were assigned to the other cohorts based on their index atypical antipsychotic. Some differences observed in prior atypical antipsychotic utilization between the lurasidone and other cohorts may be at least partially a function of the hierarchical assignment. As in any noncontrolled pharmacologic study, associations do not indicate causality.<sup>37</sup>

### CONCLUSIONS

In this claims database study, patients treated with lurasidone were more likely to have bipolar depression compared with other atypical antipsychotic-treated patients, consistent with lurasidone's FDA-approved indications and newer treatment guidelines. Lurasidone-treated patients with bipolar disorder tended to have a more complex clinical profile, medical comorbidities, and prior treatment history compared to patients initiated with other atypical antipsychotics. This pattern of treatment may have reflected the overall clinical profile of lurasidone, the role perceived for lurasidone in the therapeutic armamentarium by practitioners, and the recent introduction of lurasidone into clinical practice during the study period. Investigation of patterns of use of lurasidone and other atypical antipsychotics can provide insight and understanding of how these medications are utilized in real-world settings.

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**Potential conflicts of interest:** Dr Tohen was a full-time employee at Lilly (1997–2008). He has received honoraria from or consulted for Abbott, Actavis, AstraZeneca, Bristol-Myers Squibb, GlaxoSmithKline, Lilly, Johnson & Johnson, Otsuka, Merck, Sunovion, Forest, Gedeon Richter, Roche, Elan, Alkermes, Allergan, Lundbeck, Teva, Pamlab, Wyeth, and Wiley Publishing. His spouse was a full-time employee at Lilly (1998–2013). Drs Ng-Mak, Rajagopalan, and Loebel are employees of Sunovion Pharmaceuticals, Inc. Dr Halpern is an employee of Optum. Dr Chuang was an employee of Sunovion Pharmaceuticals, Inc at the time of the study.

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**Supplementary material:** See accompanying pages.

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Supplementary material follows this article.

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# THE PRIMARY CARE COMPANION FOR CNS DISORDERS

## **Supplementary Material**

**Article Title:** Patient Characteristics Associated With Use of Lurasidone Versus Other Atypical Antipsychotics in Patients With Bipolar Disorder: Analysis From a Claims Database in the United States

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### **List of Supplementary Material for the article**

1. [Table 1](#)
2. [Table 2](#)

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Table 1. *ICD-9-CM* Diagnosis Codes to Identify Psychological Comorbidities, Substance Abuse, or Alcohol Abuse

<b>Condition</b>	<b><i>ICD-9-CM</i> Diagnosis Code</b>	<b>Description</b>
Adjustment disorder	309.XX	Adjustment reaction
Anxiety disorder	293.84	Anxiety disorder in conditions classified elsewhere
	300.0X	Anxiety states
	300.10	Hysteria, unspecified
	300.3	Obsessive-compulsive disorders
Attention deficit disorder	314.XX	Attention deficit disorder
Substance abuse	292.0	Drug withdrawal
	292.85	Drug-induced sleep disorders
	292.89-292.9	Drug-induced mental disorders
	304.0X-304.2X, 304.4X-304.9X	Drug dependence (excluding cannabis)
	305.3X-305.9X	Nondependent abuse of drugs
Alcohol abuse	291.0, 291.81	Alcohol withdrawal
	291.1-291.3, 291.5	Alcohol-induced psychotic disorders
	291.82	Alcohol induced sleep disorders
	291.89, 291.9	Alcohol-induced mental disorders
	303.XX	Alcohol dependence syndrome
	305.0X	Nondependent alcohol abuse

Table 2. Medications Included in Mental Health–Related Costs

<b>Medication Class</b>	<b>Medication</b>
Atypical (2nd generation) antipsychotics	Aripiprazole, Asenapine, Clozapine, Iloperidone, Lurasidone, Olanzapine, Olanzapine/fluoxetine, Paliperidone, Quetiapine, Risperidone, Ziprasidone
Mood stabilizers	Lithium
Anticonvulsants	Divalproex (valproic acid), Gabapentin, Lamotrigine, Carbamazepine, Levetiracetam, Oxcarbazepine, Tiagabine, Topiramate, Zonisamide
First-generation antipsychotics	Amitriptyline/perphenazine, Chlorpromazine, Fluphenazine, Haloperidol, Loxapine, Mesoridazine, Molindone, Perphenazine, Pimozide, Promazine, Propiomazine, Thioridazine, Thiothixene, Trifluoperazine, Triflupromazine
Antidepressants	<p><i>Tricyclic antidepressants:</i> Amitriptyline, Amitriptyline/chlordiazepoxide, Amitriptyline/perphenazine, Amoxapine, Clomipramine, Desipramine, Doxepin, Imipramine, Imipramine pamoate, Maprotiline, Nortriptyline, Protriptyline, Trimipramine</p> <p><i>Selective serotonin reuptake inhibitors:</i> Citalopram, Escitalopram, Fluoxetine, Fluvoxamine, Paroxetine, Sertraline, Vilazodone, Vortioxetine</p> <p><i>Serotonin and norepinephrine reuptake inhibitors:</i> Desvenlafaxine, Duloxetine, Levomilnacipran, Venlafaxine</p> <p><i>Monoamine oxidase inhibitors (MAOIs):</i> Isocarboxazid, Phenelzine, Selegiline transdermal, Tranylcypromine</p> <p><i>Other antidepressants:</i> Bupropion, Mirtazapine, Nefazodone, Trazodone</p>
Anxiolytics	<p><i>Benzodiazepines:</i> Alprazolam, Amitriptyline/chlordiazepoxide, Chlordiazepoxide, Chlordiazepoxide/methscopolamine, Clidinium/chlordiazepoxide, Chlormezanone, Clonazepam, Clorazepate, Diazepam, Halazepam, Lorazepam, Oxazepam, Prazepam</p> <p><i>Other:</i> Buspirone, Hydroxyzine, Meprobamate</p>
Hypnotics	<p><i>Benzodiazepines:</i> Estazolam, Flurazepam, Midazolam, Quazepam, Temazepam, Triazolam</p> <p><i>Barbiturates:</i> Amobarbital, Amobarbital/secobarbital, Butobarbital, Butalbital, Pentobarbital, Secobarbital</p> <p><i>Other:</i> Chloral hydrate, Dexmedetomidine, Doxylamine, Eszopiclone, Ethchlorvynol, Glutethimide, Ramelteon, Zaleplon, Zolpidem</p>
Medications for alcohol abuse	Disulfiram, Acamprosate, Naltrexone
Medications for narcotics abuse	Buprenorphine, Buprenorphine/naloxone