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- Consider the use of mindfulness-based stress reduction for older adults with anxiety or depressive disorders and subjective cognitive complaints

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### Financial Disclosure

All individuals in a position to influence the content of this activity were asked to complete a statement regarding all relevant personal financial relationships between themselves or their spouse/partner and any commercial interest. The CME Institute has resolved any conflicts of interest that were identified. In the past year, Alan J. Gelenberg, MD, Editor in Chief, has been a consultant for Zynx Health, has been a stock shareholder of Healthcare Technology Systems, and has been owner and editor of the *Biological Therapies in Psychiatry* newsletter. No member of the CME Institute staff reported any relevant personal financial relationships. **Faculty financial disclosure appears at the end of the article.**

# Mindfulness-Based Stress Reduction for Older Adults With Stress Disorders and Neurocognitive Difficulties: A Randomized Controlled Trial

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### ABSTRACT

**Objective:** To determine whether neurocognitive performance and clinical outcomes can be enhanced by a mindfulness intervention in older adults with stress disorders and cognitive complaints. To explore decreased hypothalamic-pituitary-adrenal (HPA) axis activity as a possible mechanism.

**Methods:** 103 adults aged 65 years or older with an anxiety or depressive disorder (diagnosed according to *DSM-IV* criteria) and subjective neurocognitive difficulties were recruited in St. Louis, Missouri, or San Diego, California, from September 2012 through August 2013 and randomly assigned in groups of 5–8 to mindfulness-based stress reduction (MBSR) or a health education control condition matched for time, attention, and credibility. The primary outcomes were memory (assessed by immediate and delayed paragraph and list recall) and cognitive control (Delis-Kaplan Executive Function System Verbal Fluency Test and Color Word Interference Test). Other outcomes included clinical symptoms (worry, depression, anxiety, and global improvement). HPA axis activity was assessed using peak salivary cortisol. Outcomes were measured immediately post-intervention and (for clinical outcomes only) at 3- and 6-month follow up.

**Results:** On the basis of intent-to-treat principles using data from all 103 participants, the mindfulness group experienced greater improvement on a memory composite score ( $P = .046$ ). Groups did not differ on change in cognitive control. Participants receiving MBSR also improved more on measures of worry ( $P = .042$ ) and depression ( $P = .049$ ) at posttreatment and on worry ( $P = .02$ ), depression ( $P = .002$ ), and anxiety ( $P = .002$ ) at follow-up and were more likely to be rated as much or very much improved as rated by the Clinical Global Impressions-Improvement scale (47% vs 27%,  $\chi^2 = 4.5$ ,  $P = .03$ ). Cortisol level decreased to a greater extent in the mindfulness group, but only among those participants with high baseline cortisol.

**Conclusions:** In this population of older adults with stress disorders and neurocognitive difficulties, a mindfulness intervention improves clinical outcomes such as excessive worry and depression and may include some forms of immediate memory performance.

**Trial Registration:** ClinicalTrials.gov identifier: NCT01693874

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- Mood disorders and mild cognitive impairment are common and disabling among older adults.
- Mindfulness-based stress reduction is an acceptable intervention that can improve depression, anxiety, and worry, and possibly improve memory, among older adults with anxiety or depressive disorders and subjective cognitive complaints.

Neurocognitive aging may be accelerated by stress and stress-related disorders such as clinical depression and anxiety disorders.<sup>1,2</sup> These are common disorders that contribute significantly to both suffering and neurocognitive decline in the growing demographic of elderly persons.<sup>3-6</sup> This decline is most evident in the domains of memory and cognitive control (also called executive function).<sup>7,8</sup> Even among nondemented older adults, declines in memory and cognitive control can impair the ability to perform meaningful daily activities.<sup>9,10</sup> There is much current interest in early, timely intervention for neurocognitive difficulties, before they advance to the stage of major neurocognitive disorder.<sup>11</sup>

One hypothesized mechanism by which anxiety and depression may lead to neurocognitive decline in older adults involves the hypothalamic-pituitary-adrenal (HPA) axis. According to this theory, stress (manifested as worry or depression) in older people causes an exaggerated physiological response, resulting in excess cortisol production and, consequently, impaired neurocognition via cellular and synaptic changes particularly affecting the hippocampus and prefrontal cortex.<sup>12-15</sup>

This stress-induced neurobiological pathway to neurocognitive decline may be reversible.<sup>16</sup> For example, memory and hippocampal volumes in depression and anxiety disorders appear to be dynamic, increasing with successful treatment even in older individuals.<sup>17,18</sup> Specifically relevant to our model, HPA axis hyperactivity can be reduced by stress reduction interventions in elderly people; the resulting reduced levels of cortisol should result in neurocognitive improvements in addition to the clinical benefits.<sup>19-21</sup>

Mindfulness is a state of nonjudgmental awareness of present moment experience.<sup>22,23</sup> Often associated with meditation, increased mindfulness appears to improve control of the neuroendocrine stress response, resulting in reduced cortisol output.<sup>24-27</sup> Mindfulness-based stress reduction (MBSR) is a popular complementary and alternative medicine intervention that combines meditation and yoga and has been shown to reduce anxiety and depression, and possibly modify substrates of attention, in younger adults.<sup>28-34</sup> Mindfulness-based stress reduction is taught in many community settings and is appealing to older adults; it is more widely available and potentially less stigmatizing than conventional treatments for anxiety, depression, and stress, such as cognitive-behavioral therapy. Possible benefits of MBSR include reductions in emotional distress, loneliness, and proinflammatory gene expression.<sup>35-39</sup> Because of its

focus on reducing stress and worry, MBSR could potentially correct HPA axis hyperactivity in anxious and depressed older adults, with consequent benefits for neurocognition as well as its clinical stress reduction benefits.<sup>20,40</sup>

Only a handful of preliminary investigations have explored the impact of mindfulness interventions on neurocognitive function.<sup>41,42</sup> Among older adults with and without neurocognitive impairment, preliminary findings suggest positive effects on attention, processing speed, memory, and cognitive control.<sup>43,44</sup> Despite the need for stress-reducing interventions acceptable to older adults and their potential benefits with respect to neurocognitive aging, only 2 studies to date to our knowledge have tested the impact of mindfulness training and practice as a cognitive enhancer for older adults. In a study<sup>45</sup> of 14 older individuals with mild neurocognitive impairment randomized to MBSR or usual care, investigators found a trend toward improvement on cognition for the MBSR group.

In an initial study<sup>46</sup> of MBSR in 34 older adults, we found preliminary evidence for increases in mindfulness, reductions in worry, and improvement in memory and cognitive control relative to baseline. In the present study, we conducted a single-blind, randomized controlled trial to compare this MBSR protocol to an active control condition (health education) to test the hypotheses that (1) MBSR will lead to superior neurocognitive performance in the domains of cognitive control and memory than will health education; (2) MBSR will lead to superior clinical outcomes in terms of worry, depression, anxiety, and global mental health than health education; and (3) MBSR will reduce salivary cortisol levels more than health education.

## METHODS

### Participants

Participants were 103 adults over the age of 65 years enrolled and treated at 2 sites: St. Louis, Missouri (Washington University,  $n = 52$ ), and San Diego (University of California San Diego [UCSD],  $n = 51$ ). They were recruited via newspaper advertising, university registries, and word of mouth. Recruitment material described the study as a comparison of 2 interventions intended to improve neurocognitive function, and potential participants were made aware that the health education condition was based on a well-researched, empirically based program developed by researchers at Stanford University (Stanford, California) in order to reduce expectation bias. All participants gave informed consent to participate, and the study was reviewed and approved by the institutional review boards at both sites. The study was registered on ClinicalTrials.gov (identifier: NCT01693874).

Inclusion criteria included clinically significant anxiety or depressive symptoms, as defined by a Patient-Reported Outcomes Measurement Information System (PROMIS)<sup>47</sup> Anxiety score  $\geq 14$  or a PROMIS Depression score  $\geq 16$  at screening, plus a current diagnosis of a depressive or anxiety disorder (ie, major depressive disorder, dysthymia, depressive

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disorder not otherwise specified [NOS], generalized anxiety disorder, panic disorder with or without agoraphobia, or anxiety disorder NOS), ascertained using the Structured Diagnostic Interview for *DSM-IV* Axis I Disorders.<sup>48</sup> All participants also endorsed current subjective aging-related neurocognitive problems. Exclusion criteria included dementia, as defined by a score of  $\geq 10$  errors on the Short Blessed Test<sup>49</sup>; a chart diagnosis of dementia; or prescription of cognitive-enhancing medication such as donepezil. Other exclusion criteria included alcohol or substance use disorders within the past 6 months, current or lifetime psychotic or bipolar disorder, current participation in psychotherapy or regular engagement in mindfulness practice or yoga, corticoid steroid use, and serious medical illness that would prevent study participation or accurate data collection (eg, congestive heart failure, oxygen dependent). Individuals currently taking antidepressants or anxiolytics were eligible if they had been on a stable daily dose for at least a month prior to enrollment and agreed to remain stable throughout the intervention. A CONSORT chart illustrating the patient flow is shown in Figure 1.

### Assessments

The primary outcomes for this study were memory and cognitive control (executive function). Memory was assessed by immediate and delayed paragraph and list recall. Tests of list and paragraph learning and recall are commonly used to assess verbal memory function.<sup>50,51</sup> The specific paragraph recall test used in the present study<sup>52</sup> was based on the Wechsler Memory Scale-Revised Logical Memory Test<sup>53</sup>; in it, subjects hear short narratives containing 44 bits of information and are tested on immediate and delayed (30 minutes) verbatim recall of paragraph bits. Participants were also tested on immediate and 30-minute delayed recall of a 16-word list similar to that in the Rey Auditory Verbal Learning Test, which has been used extensively by the Washington University Alzheimer's Disease Research Center.<sup>54</sup>

Cognitive control was assessed by the Delis-Kaplan Executive Function System (DKEFS) Verbal Fluency Test and the DKEFS Color Word Interference Test.<sup>55,56</sup> In these tests, respondents are required to retrieve words, select them according to specific rules, and inhibit incorrect responses, all of which are assumed to draw on executive function. In the DKEFS Verbal Fluency Test, the subject is required to generate as many words as possible in 1 minute that (1) begin with a given letter (eg, *F*; letter or phonemic fluency), (2) fall into a particular category (eg, "animals"; category or semantic fluency), and (3) fall into 1 of 2 alternating categories (category switching). The score is the number of unique correct words in each condition.

The DKEFS Color Word Interference Test, similar to other Stroop tests, requires respondents to identify colors (color naming), read a series of color words (word reading), and then identify the ink color of color words that do not match the ink (eg, the word "blue" printed in red ink; interference). The DKEFS Color Word Interference Test differs from

other Stroop tests in that it also includes an inhibition and switching trial in which half the words appear in boxes. Words without boxes are treated as in the interference condition (ie, identifying the ink color), whereas words in boxes are treated as in the word reading condition (ie, the actual color word is read). The test is scored on the basis of the amount of time required to complete each task.

In order to reduce the number of measures analyzed, memory and cognitive control composite scores were created by averaging Z scores for each measure. We also assessed concerns about memory using the PROMIS<sup>47</sup> Cognitive Concerns scale. In addition to the memory and cognitive control tests, participants were administered the Wechsler Test of Adult Reading (WTAR)<sup>57</sup> to assess for premorbid neurocognitive function. To control for Hawthorne effects, we also assessed attention using the Digit Span subtest from the Repeatable Battery for the Assessment of Neuropsychological Status<sup>58</sup> and motor function using the Grooved Pegboard Test.<sup>59</sup> We did not expect these variables to change in response to either intervention.

Other outcomes included the various components of our theoretical model; specifically, chronic worry as assessed by the Penn State Worry Questionnaire-Abbreviated (PSWQ),<sup>60</sup> depression and anxiety as measured by the PROMIS scales,<sup>47</sup> and mindfulness as assessed by the Cognitive and Affective Mindfulness Scale-Revised.<sup>61</sup> All assessments were performed by blind raters who also made an overall assessment of each participant's progress using the Clinical Global Impressions-Improvement scale (CGI-I).<sup>62</sup>

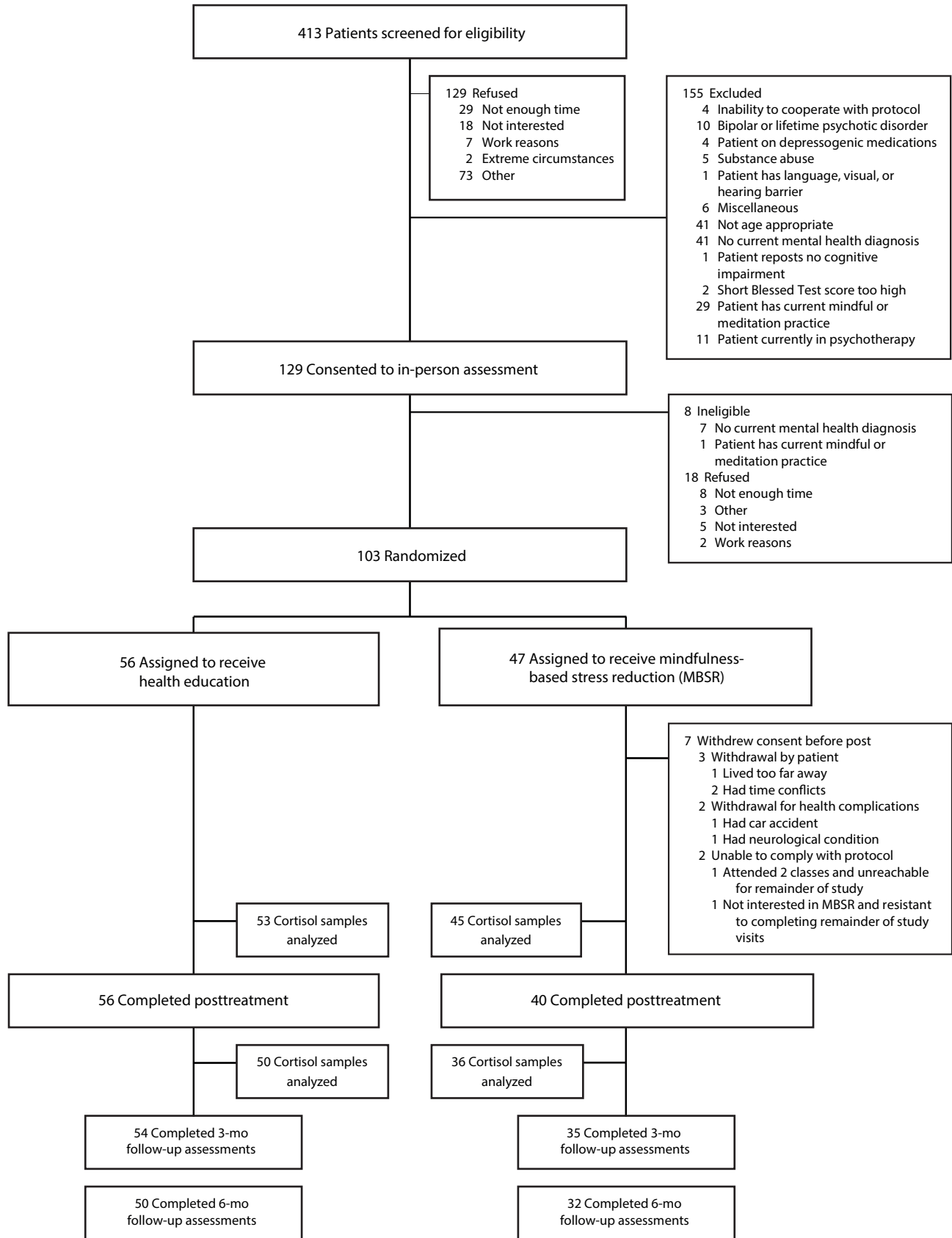
Saliva was collected for cortisol from participants at pre- and post-intervention according to published techniques.<sup>63</sup> In brief, we used Salivette assays to gather saliva for cortisol on 3 consecutive days at waking, 30 minutes after waking, and bedtime. These procedures have been shown to be reliable in other research, correlate with serum cortisol (salimetrics.com), and are consistent with our laboratory's methods, described elsewhere.<sup>60</sup> Saliva was assayed for cortisol level using standard procedures (Salimetrics, LLC, State College, Pennsylvania). Peak daily cortisol was computed from the higher of the 2 (waking or wake + 30 minutes) values on each day, and the median value of the 3 days was used. Peak cortisol was used because previous research suggests that peak cortisol levels are most strongly associated both with cognitive function<sup>20,64</sup> and with worry severity.<sup>65</sup>

### Interventions

Participants were randomized in groups of 5–8 people to either MBSR or health education. Randomization, based on block sequence, was generated and held by the study statistician, who had no contact with participants or raters. After each group of participants completed their baseline assessments, the statistician provided information on randomization to the site study coordinator, who notified the appropriate group instructor. Mindfulness-based stress reduction was conducted according to the protocol developed by Jon Kabat-Zinn, PhD,<sup>66</sup> and colleagues at the

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Figure 1. CONSORT Chart



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University of Massachusetts, Boston, and included 8 sessions of meditation and light yoga, as modified in our pilot study to reduce risk of injury to older patients, along with a half-day meditation retreat. The San Diego site instructor was an associate professor of psychiatry and director of the UCSD Center for Mindfulness. He supervised the 2 St Louis MBSR instructors by watching videotapes and holding weekly supervision conference calls. All instructors had training and credentials in relevant health professions (OT, MSW, PsyD), had long-standing personal meditation practices, and had served as MBSR instructors for at least 4 years (range, 4–10 years).

Health education was based on the Stanford health care self-management book by Kate Lorig and colleagues,<sup>67</sup> with references to relaxation and meditation strategies removed. The program was 8 sessions and covered topics including understanding and managing common conditions and symptoms, healthy eating, managing medications, and communicating with health care providers. Delivery of health education was similar to the MBSR condition (once weekly, group-delivered, approximately 90 minutes). The instructor in San Diego was an associate professor of psychiatry who served as Health Behavior Coordinator at the VA San Diego Healthcare System. She trained and supervised the health education instructor in St Louis, an MSW with experience in working with older adults with chronic medical conditions.

Participants in both groups received manuals and had between-session assignments. The conditions did not differ on credibility as measured by a version of Borkovec's Credibility scale<sup>68</sup> administered after the first group session ( $t = -1.3, P = .19$ ).

### Data Analysis

SAS 9.4 (SAS Institute Inc) was used for all statistical analyses. To create the memory domain score, Z scores were calculated to replace all the raw data (number of items/bits of information recalled) for each of the 4 memory measures (immediate paragraph recall, immediate list recall, delayed paragraph recall, and delayed list recall). The Z scores adjust for the scale differences among the 4 individual measures so that they could be combined. These Z scores were averaged to produce a single total memory composite variable for each individual at each time point. A similar procedure was used to create the cognitive control variable using the raw verbal fluency score and 2 variables from the DKEFS Color-Word Interference Test (the difference between the Color-Word condition and the Word condition, and the difference between the Color-Word condition and the average of the Word and Color conditions).

Our primary analyses used analysis of covariance models to test the effects of the categorical variable treatment group on each continuous dependent variable, controlling for the effects of the covariates: baseline score on the variable, WTAR score, and site (St Louis or San Diego). Analysis of covariance was used because it had greater statistical power than alternatives, by virtue of reducing

within-group variance. Initially the models also included as covariates age, ethnicity, Cumulative Illness Rating Scale for Geriatrics<sup>69</sup> (medical burden), and use of selective serotonin reuptake inhibitor antidepressant medications, all of which varied across treatment condition or across site. To avoid overfitting the model, a stepwise variable selection method was employed. Only baseline score, condition, WTAR, and site were retained in the models. Including other variables in the analyses did not appreciably change the results.

Missingness was tested via Little MCAR (Missing Completely At Random) test in IBM SPSS Statistics 23 using the Missing Value Analysis add-on module. Expectation maximization was used as the estimation method. For the Little test,  $\chi^2 = 15.7, P = .61$ ; the nonsignificant result suggested that the data may be assumed to be MCAR.

Multiple imputation with 20 imputations using the SAS procedure PROC MI was used as the missing value treatment. Missing data are a common issue and, rather than deal with the matter in an ad hoc fashion, the well-documented method of multiple imputation was selected. Multiple imputation has statistical advantages over more traditional methods such as hot-deck imputation and maximum likelihood-based imputation. Listwise deletion involves discarding observations, which may introduce bias or affect the representativeness of results. Multiple imputation preserves all observations by replacing missing data with an estimated value based on other available information. In order to deal with the problem of increased noise due to single imputation, multiple imputation averages the outcomes across multiple imputed data sets.

The SAS procedure PROC MIANALYZE was used to roll up the regression models from the 20 imputations. A P value for treatment group less than .05 indicated that there was a statistically significant difference in change over time between the treatment groups, adjusting for the covariates.

Mixed effects models were employed to analyze the data for the PSWQ, PROMIS depression, PROMIS anxiety, and cognitive concerns over an extended time course to include the 3-month and 6-month assessments.

### RESULTS

Descriptive information for the sample appears in Table 1. Despite randomization, the treatment groups differed on age (health education > MBSR), medical burden (health education > MBSR), and current treatment with antidepressants (health education > MBSR). Baseline values for outcome variables are also displayed in Table 1. There were no significant differences between the treatment conditions at baseline on any clinical or neurocognitive variable, including the individual neurocognitive tests that made up the composites (eg, paragraph recall, DKEFS Stroop test; results not shown).

The 2 groups also did not differ in their attendance at classes, with MBSR participants attending a mean of 7.3 sessions (SD = 2.8) and health education participants attending a mean of 7.6 sessions (SD = 2.5,  $t = 0.6, P = .56$ ).

Table 1. Baseline Demographic and Clinical Variables

Variable	All Patients (N=103)	Health Education (n=56)	Mindfulness-Based Stress Reduction (n=47)	$\chi^2/t$ /Wilcoxon Value	P Value
Age, mean (SD), y	71.9 (5.4)	73.3 (6.1)	70.4 (4.1)	2,093	.022
Sex, n (%)				0.0099	.921
Male	28 (27)	15 (27)	13 (28)		
Female	75 (73)	41 (73)	34 (72)		
Ethnicity, n (%)				*Fisher exact	.115
White	83 (81)	48 (86)	35 (74)		
African American	9 (9)	2 (4)	7 (15)		
Asian or Pacific Islander	5 (5)	3 (5)	2 (4)		
Hispanic	4 (4)	1 (2)	3 (6)		
Other or unknown	2 (2)	2 (4)	0 (0)		
Education, mean (SD), y	15.6 (2.6)	15.6 (2.6)	15.5 (2.7)	2,482.5	.798
Medical burden (CIRS-G), mean (SD)	8.5 (3.4)	9.2 (3.6)	7.7 (3.1)	2.24	.027
Diagnosis, n (%)				1.44	.488
Major depression	19 (18)	9 (16)	10 (21)		
Anxiety disorder	57 (55)	34 (61)	23 (49)		
Both	27 (26)	13 (23)	14 (30)		
Any antidepressant, n (%)	46 (45)	32 (57)	14 (30)	7.74	.005
SSRI antidepressant, n (%)	36 (35)	26 (46)	10 (21)	7.11	.008
Benzodiazepine, n (%)	20 (19)	12 (21)	8 (17)	0.32	.573
Other sedative, n (%)	7 (7)	2 (4)	5 (11)	2.01	.156
Anticholinergics, n (%)	14 (14)	9 (16)	5 (11)	0.64	.423
Clinical measure, mean (SD)					
PSWQ	28.0 (7.5)	27.7 (8.2)	28.4 (6.6)	2,475.5	.838
PROMIS Depression	20.0 (7.2)	19.9 (7.1)	20.3 (7.4)	-0.28	.78
PROMIS Anxiety	20.4 (5.8)	20.0 (5.8)	20.8 (5.8)	-0.69	.49
CAMS-R	31.5 (6.0)	32.4 (6.1)	30.3 (5.8)	1.74	.085
Cognitive Concerns	23.7 (7.3)	23.1 (6.4)	24.5 (8.3)	-0.99	.323
Premorbid cognitive function					
WTAR raw, mean (SD)	39.3 (8.5)	40.6 (8.2)	37.7 (8.5)	2,166	.069
Memory, mean (SD)					
Memory composite	-0.16 (0.78)	-0.23 (0.85)	-0.07 (0.68)	-1.04	.301
Immediate list <sup>a</sup>	-0.191 (0.939)	-0.252 (1.006)	-0.118 (0.856)		.473
Immediate story <sup>a</sup>	-0.154 (0.977)	-0.139 (1.097)	-0.172 (0.823)		.864
Delayed list <sup>a</sup>	-0.142 (0.936)	-0.267 (0.981)	-0.006 (0.739)		.141
Delayed story <sup>a</sup>	-0.135 (0.951)	-0.255 (1.09)	-0.009 (0.739)		.148
Cognitive control, mean (SD)					
Cognitive control composite	-0.11 (0.78)	-0.12 (0.84)	-0.11 (0.75)	-0.22	.829
Verbal fluency <sup>a</sup>	-0.143 (0.952)	-0.090 (1.000)	-0.205 (0.899)	0.61	.545
Stroop: Color-Word vs Word <sup>a</sup>	0.083 (1.059)	0.088 (1.149)	0.077 (0.954)	0.05	.956
Stroop: Color-Word vs average of Color and Word <sup>a</sup>	0.097 (1.043)	0.190 (1.114)	-0.014 (0.952)	0.99	.324
Digit span, mean (SD)	10.1 (2.7)	10.0 (2.8)	10.1 (2.7)	-0.20	.84
Grooved pegboard (time DH), mean (SD)	105.1 (33.0)	105.0 (27.6)	105.1 (38.8)	-0.02	.98
Biomarker					
Peak cortisol (ng/mL), mean (SD)	5.0 (2.5)	4.9 (2.4)	5.2 (2.5)	-0.45	.656

<sup>a</sup>Z score.

Abbreviations: CAMS-R=Cognitive and Affective Mindfulness Scale-Revised, CIRS-G=Cumulative Illness Rating Scale for Geriatrics, DH=dominant hand, PROMIS=Patient-Reported Outcomes Measurement Information System, PSWQ=Penn State Worry Questionnaire, SSRI=selective serotonin reuptake inhibitor, WTAR=Wechsler Test of Adult Reading.

## Neurocognitive Outcomes

Results for change over time are presented in Table 2. Consistent with our hypotheses, MBSR participants improved significantly more in their memory composite score (Figure 2) than did health education participants. This finding was driven by the scores on Immediate List Recall (Table 2). We did not find significant differences in changes in the cognitive control composite score or any of the other individual cognitive tests (Table 2) between the intervention groups. We also did not find significant differences in Digit Span or Grooved Pegboard, suggesting that memory results

were not driven by nonspecific performance improvements. Participants in both groups improved equivalently in their subjective perceptions of neurocognitive performance, suggesting that the memory results were not driven by participants' self-perceptions of improvement.

## Clinical Outcomes

Lending support to our theoretical model, MBSR participants reported more reduction in pathological worry than did health education participants. They also reported larger improvements in mindfulness and depression at

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**Table 2. Results of Comparison of Mindfulness-Based Stress Reduction and Health Education Among 103 Older Adults With an Anxiety or Depressive Disorder and Subjective Cognitive Impairment<sup>a</sup>**

Variable	Parameter Estimate	SE	t	P	Effect Size
Memory composite	0.28	0.14	2.0	.046	0.28
Immediate list	2.6	1.3	2.1	.036	0.42
Immediate story	3.5	2.2	1.6	.107	0.20
Delayed list	0.8	0.6	1.3	.210	0.08
Delayed story	3.7	2.2	1.7	.099	0.17
Cognitive control composite	-0.04	0.09	-5	.627	-0.14
Verbal Fluency	-1.8	1.73	-1.1	.294	-0.21
Stroop: Color-Word vs Word	-0.9	2.29	-0.4	.704	-0.04
Stroop: Color-Word vs average of Color and Word	-0.4	2.09	-0.2	.853	-0.13
Digit Span	0.01	0.41	0.01	.99	-0.09
Grooved Pegboard time DH	-1.47	3.80	-0.39	.70	-0.07
Cognitive concerns	-1.8	1.3	-1.4	.149	-0.31
PSWQ	-3.1	1.5	2.0	.042	-0.48
PROMIS Depression	-2.2	1.1	-2.0	.049	-0.46
PROMIS Anxiety	-2.2	1.2	-1.9	.061	-0.42
CAMS-R	3.0	1.2	2.6	.0096	0.57
Peak cortisol (ng/mL)	-0.7	0.4	-1.5	.133	-0.36

<sup>a</sup>Analysis of covariance based on intention-to-treat with premorbid cognitive function and site as covariates.

Abbreviations: CAMS-R=Cognitive and Affective Mindfulness Scale-Revised, DH=dominant hand, PROMIS=Patient-Reported Outcomes Measurement Information System, PSWQ=Penn State Worry Questionnaire.

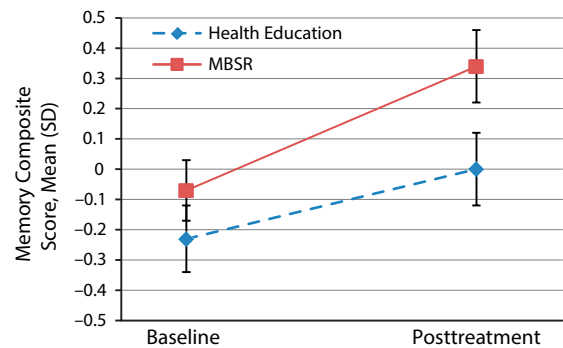
posttreatment. Overall, 47% of the MBSR participants were rated by blind raters as much improved or very much improved on the CGI-I, compared with 27% of the health education participants, following treatment ( $\chi^2_1=4.5$ ,  $P=.03$ ).

We collected data on PSWQ and PROMIS Depression, Anxiety, and Cognitive Concerns at 3- and 6-month follow-up time points. The results for time by condition were significant for PSWQ ( $F=2.86$ ,  $P=.02$ ), Depression ( $F=3.87$ ,  $P=.002$ ), and Anxiety ( $F=3.96$ ,  $P=.002$ ), but not for Cognitive Concerns ( $F=1.42$ ,  $P=.22$ ).

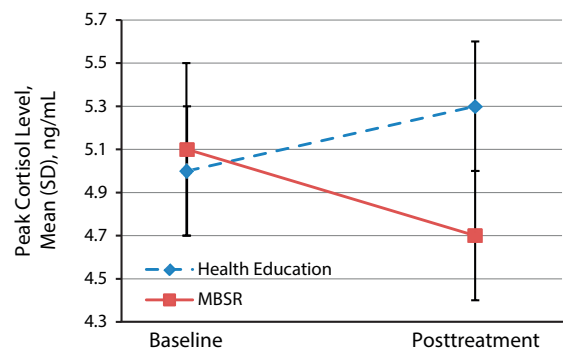
### Cortisol Results

There were no overall between-group differences in reduction in peak cortisol (Table 2). We then used a median split to examine change in participants with high and low baseline cortisol levels separately. Fifty participants were classified as having low baseline cortisol, defined as levels at or below 4.5 ng/mL; of those, 28 were randomized to health education and 22 to MBSR. Forty-eight participants were classified as having high baseline cortisol (over 4.5 ng/mL). Of these, 25 were randomized to health education and 23 to MBSR. Five participants had missing values for baseline cortisol levels. We found no differences in demographics, mood, or cognitive measures between those with high and low baseline cortisol levels. Among those with higher baseline levels of cortisol, however, cortisol decreased significantly in the MBSR group (paired  $t=3.8$ ,  $P=.0015$ ) but not in the health education group (paired  $t=1.9$ ,  $P=.07$ ; Figure 3).

**Figure 2. Change in Memory Composite Score in 103 Older Adults With Anxiety or Depressive Disorders and Subjective Cognitive Impairment, Randomly Assigned to Mindfulness-Based Stress Reduction (MBSR) or Health Education**



**Figure 3. Change in Peak Cortisol Level in 53 Older Adults With Anxiety or Depressive Disorders, Subjective Cognitive Impairment, and Baseline Peak Cortisol Levels > 4.5 ng/mL Randomly Assigned to Mindfulness-Based Stress Reduction (MBSR) or Health Education**



Among the participants who received MBSR, most responded to questionnaires at 3 ( $n=35$ ) and 6 ( $n=36$ ) months regarding their continued use of mindfulness practice. Every participant reported continuing to engage in at least some mindfulness practice on a weekly basis. The most commonly maintained strategies were mindful breathing (86%), informal mindfulness (86%), and formal meditation (78%). The least commonly maintained strategies were body scan (53%) and yoga (58%). Yoga was also identified as the least favorite technique by 50% of respondents. Mindful breathing was identified as the most favorite technique by 33% of responders.

### DISCUSSION

In this randomized comparison of MBSR to an active health education control condition among older adults with anxiety or depressive disorders and subjective neurocognitive difficulties, we found greater improvements in memory, worry, depression, and global clinical

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improvement associated with MBSR. We also found a decrease in cortisol with MBSR relative to health education among those with high baseline levels. This finding is consistent with other observations about MBSR's cortisol-reducing properties.

Furthermore, older adults were willing and able to participate in mindfulness activities. Not only was adherence to between-session assignments high, but all participants reported continuing to engage in mindfulness practice 6 months after the termination of their intervention. As well, those randomized to MBSR continued to have a superior clinical outcome in terms of worry severity, depression, and anxiety at 3 and 6 months post-intervention compared to those who received health education. This self-maintained mindfulness practice and sustained clinical improvement, as well as the wide availability of MBSR, bode well for its use to improve the stress-related health of the large and growing population of older adults.

Contrary to expectations, we did not find differences in cognitive control. This may be due to the fact that paper-and-pencil measures of cognitive control are not sufficiently sensitive among older people without identified neurocognitive impairment to detect change over a relatively short period.

It should be noted that some degree of improvement was also experienced by the health education group. This may have been due to a number of factors, including possibly

greater self-efficacy resulting from participating in a credible alternative intervention, or practice effects associated with the neurocognitive tests. However, even in this context, we found more improvement in immediate memory associated with MBSR relative to health education.

Limitations of the study include the fact that although eligible participants reported subjective neurocognitive problems, we did not restrict enrollment to those with documented neurocognitive impairment. Attrition was higher among the MBSR participants, although all but 1 who dropped out cited reasons that had nothing to do with the intervention (eg, car accident, significant health event). Because of the group randomization and fluctuations in recruitment, fewer individuals were randomized to MBSR than to health education, which may have had implications for power. The cognitive tests were administered via paper and pencil rather than on a computer, which may have limited their sensitivity.

The study's strengths included a sample of older adults with diagnosed anxiety or depressive disorders, as opposed to nondistressed volunteers often recruited for studies of MBSR, and a broad range of outcome measures, including neurocognitive function, worry, anxiety, depression, and global clinical status, and a biological marker of HPA axis activity. Results suggest that MBSR shows promise as an intervention for anxious or depressed older adults reporting subjective neurocognitive complaints.

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## POSTTEST

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to take this Posttest and complete the Evaluation. A nominal processing fee is required.

1. Mindfulness-based stress reduction (MBSR) in older adults with anxiety or depressive disorders and cognitive complaints was found to do all of the following *except*:
  - a. Improve memory
  - b. Improve cognitive control
  - c. Reduce worry
  - d. Reduce depression
2. Which of these statements about MBSR and cortisol in older adults with anxiety or depressive disorders and cognitive complaints is most accurate, according to this study?
  - a. MBSR normalizes cortisol levels
  - b. MBSR has no effect on cortisol
  - c. MBSR raises cortisol in those with lower baseline cortisol
  - d. MBSR lowers cortisol in those with higher baseline cortisol
3. Mrs D is a 78-year-old woman with recurrent major depressive episodes and chronic generalized anxiety disorder. She has tried a number of antidepressant and anxiolytic medications and has either developed side effects or reported no benefit. She is not willing to try another medication at this time and has not been able to find a psychotherapist who accepts Medicare, is knowledgeable about older adults, is well-versed in cognitive-behavioral therapy, and is conveniently located. What might you tell her about MBSR?
  - a. A version for older adults involves meditation and light yoga, with between-session assignments
  - b. MBSR includes meditation, yoga, and dietary strategies to reduce stress
  - c. Research has shown efficacy for anxiety but not for depressive symptoms
  - d. MBSR must be combined with pharmacotherapy

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